



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| Applicant: | BALABON, Sam | |
| Appl'n No.: | 10/730,360 | Group Art Unit: 3624 |
| Filing Date: | 09 December 2003 | Examiner: n/a |
| For: | SYSTEM AND METHOD FOR TRADING ABOVE OR BELOW THE MARKET | |

MS Missing Parts

Commissioner for Patents
Office of Initial Patent Examination's Filing Receipt Corrections
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested. The following requested change, supported by a preliminary amendment filed on even date, is marked on the copy of the filing receipt submitted herewith.

ERROR IN

Title

CORRECT DATA

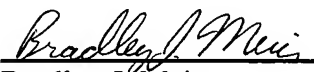
**System and method for ~~below market~~ trading
above or below the market**

Although not believed necessary, the Office may charge any additional fees required under 37 C.F.R. § 1.16 or § 1.17, or credit any overpayments, to Deposit Account No. 11-0600.

The Examiner is invited to contact the undersigned at 202-220-4200 to discuss any matter regarding this application.

Respectfully submitted,

Dated: May 17, 2004


Bradley J. Meier
(Reg. No. 44,236)

KENYON & KENYON
1500 K Street, N.W., Suite 700
Washington, D.C. 20005
(202) 220 - 4200 (telephone)
(202) 220 - 4201 (facsimile)



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| APPL NO. | FILING OR 371 (c) DATE | ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLMS | IND CLMS |
|------------|---------------------------|----------|---------------|-----------------|----------|----------|----------|
| 10/730,360 | 12/09/2003 | 3624 | 520 | 12664/1 | 7 | 35 | 3 |

23838
KENYON & KENYON
1500 K STREET, N.W., SUITE 700
WASHINGTON, DC 20005



CONFIRMATION NO. 1794
FILING RECEIPT



OC000000012103523

Date Mailed: 03/15/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Sam Balabon, Residence Not Provided;

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/431,913 12/09/2002

Foreign Applications

If Required, Foreign Filing License Granted: 03/15/2004

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

System and method for below-market trading above or below the market

Preliminary Class

